



# Education Stabilization Fund

Coordinated Monitoring

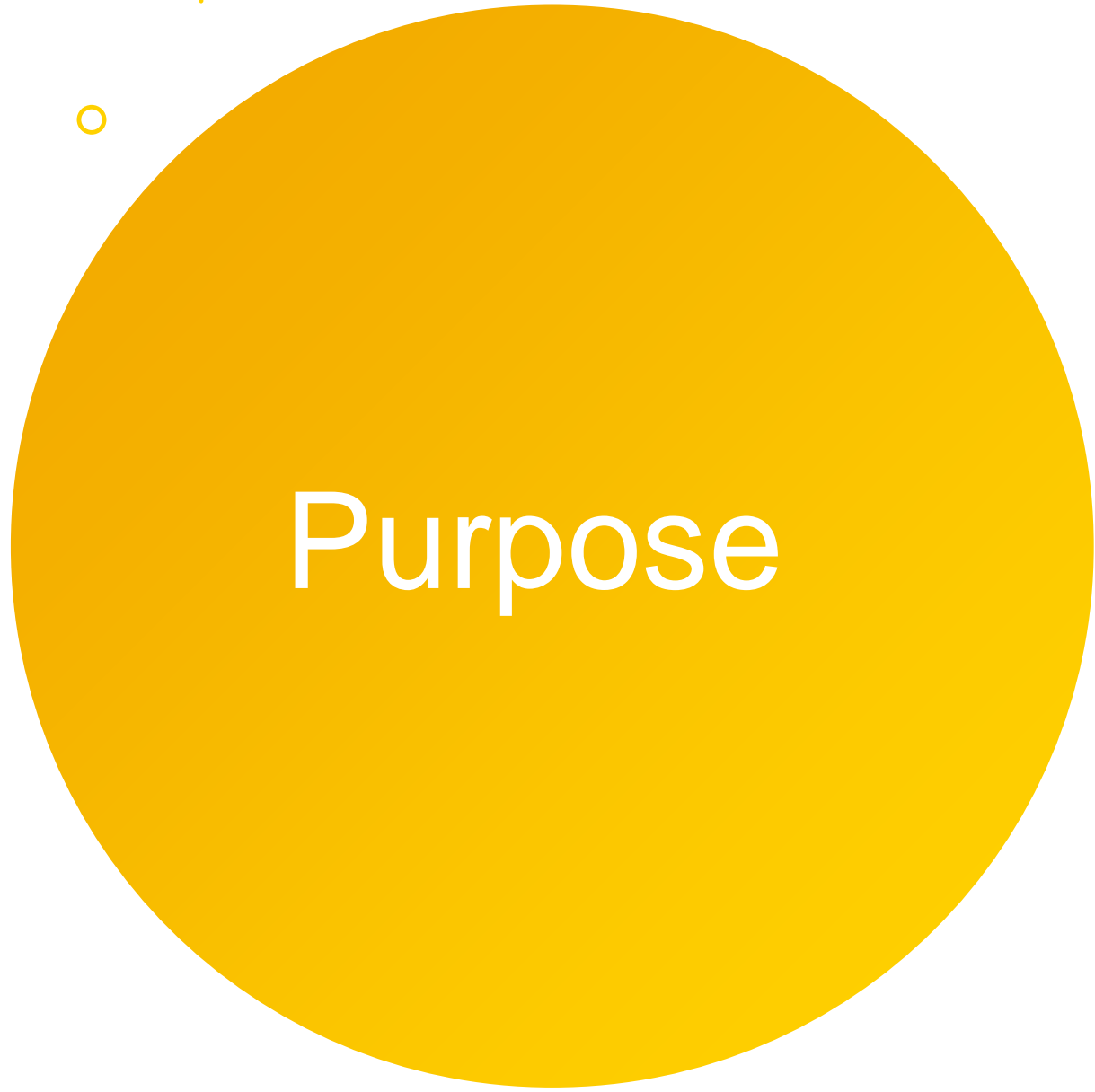


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ESF  
Coordinated  
Monitoring

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Review  
Process



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- To de

# Programs to be Monitored

ESSER I

GEER I

CRRSA /  
ESSER II

GEER II

ARP  
ESSER

ARP State  
Reserves



# Overall Process

Phase I  
Pre-review  
activities

Phase II  
On-site meeting  
activities

Phase III  
Follow-up  
activities



# Phase I

Pre-Review Activities





# Review Groups

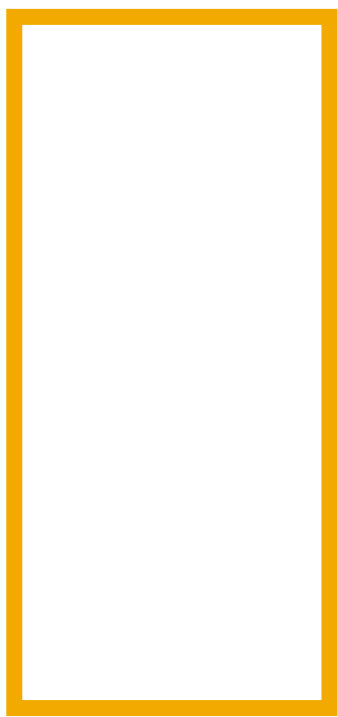
# Review Groups

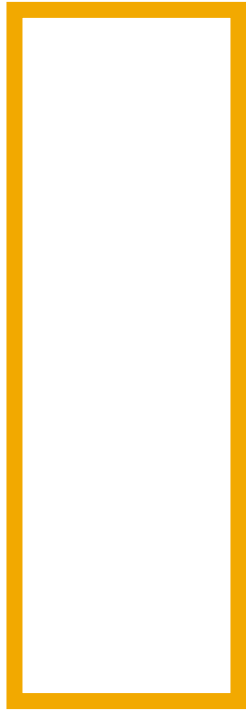
|         | Review Block               |
|---------|----------------------------|
| Group A | October 2022 - May 2023    |
| Group B | January 2023 – August 2023 |
| Group C | April 2023 – November 2023 |
| Group D | July 2023 – February 2024  |
| Group E | October 2023 – May 2024    |
| Group F | January 2024 – August 2024 |















# Preliminary Ratings

- The LEA will receive the Preliminary Ratings in the NYSED Business Portal at least 3 business days before the on-site visit.
- This is to provide the LEA additional time to gather evidence and correct any initial findings or required actions before the on-site visit.



# Phase II

On-Site Activities



# Phase II – On-Site Visit



NYSED staff will conduct on-site meetings.





# Phase III

## Follow-Up Activities



# Phase III Timeline

|                         |   |
|-------------------------|---|
| 5 days after site visit | After the on-site visit, LEA has 5 business days to upload additional materials to address preliminary ratings. |
|-------------------------|---|



# Phase III Timeline

|   |   |
|---|---|
| 5 days after site visit                           | After the on-site visit, LEA has 5 business days to upload additional materials to address preliminary ratings. |
| 30 days after the 5-day preliminary rating period | NYSED will provide Final Ratings within 30 calendar days.   |

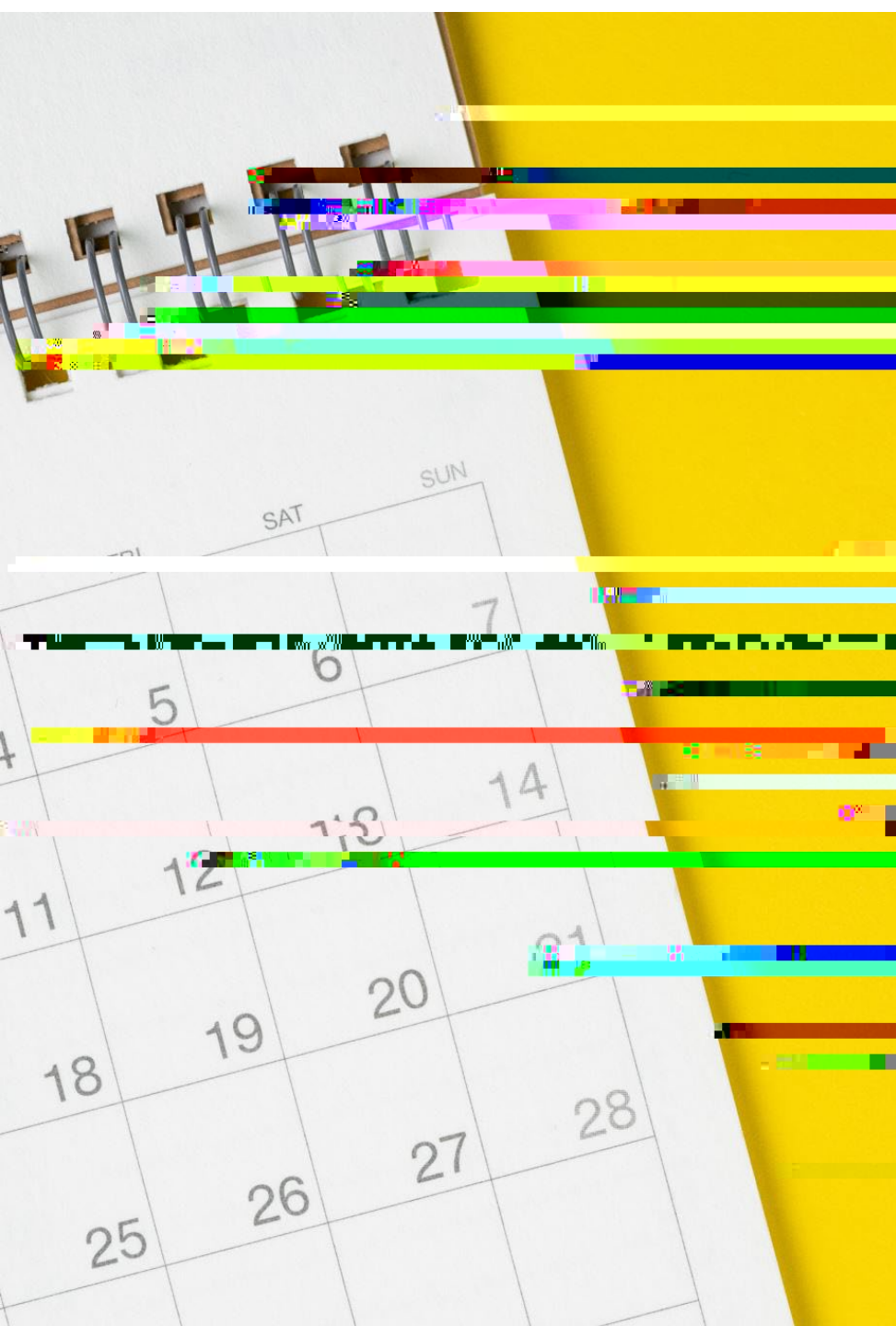


# Phase III Timeline

|   |   |
|---|---|
| 5 days after site visit                           | After the on-site visit, LEA has 5 business days to upload additional materials to address preliminary ratings. |
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|   |   |

# Phase III Timeline

|                         |   |
|-------------------------|---|
| 5 days after site visit | After the on-site visit, LEA has 5 business |
|                         |   |
|                         |   |
|                         |   |



# Sample Timeline

## On-site Visit – March 16, 2023

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- March 13, 2023 - Preliminary Ratings
- March 16, 2023 - On-Site Visit
- March 23, 2023 - Additional Materials due
- April 24, 2023 - NYSED Final Ratings
- May 24, 2023 - LEA Corrective Actions Due





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# Compliance Status Definitions

## Met Requirements

- indicates that the LEA documents reviewed were fully in compliance with federal and State requirements.





# Compliance Status Definitions

## Met Requirements with Required Action

- indicates that the LEA documents reviewed were substantially in compliance with federal and State requirements
- The LEA must improve the quality of their program implementation and/or documentation by implementing the NYSED-directed action.
- The LEA is required to respond to a required action within its Corrective Action Plan.











# Common Obstacles and Solutions

| Obstacle   | Solution   |
|--|--|
| Materials are not uploaded to the correct indicators within the monitoring form. | <ul style="list-style-type: none"><li>• Identify appropriate personnel to contribute to the monitoring review based on program areas.</li><li>• Develop internal procedures and timeline for collecting and submitting evidence.</li><li>• Ensure that there is a clear line of communication between all individuals contributing evidence.</li></ul> |





# Common Obstacles and Solutions

| Obstacle   | Solution  |
|--|---|
| <p>For General Programmatic, General Equitable Services, and General Fiscal indicators, incomplete evidence is uploaded.</p> | <ul style="list-style-type: none"><li>• General indicators, sometimes referred to as cross-cutting indicators, require evidence from multiple ESF grants. For example, for General Fiscal indicators, evidence is</li></ul> |

# Common Obstacles and Solutions

| Obstacle   | Solution  |
|--|---|
| LEA does not understand what an indicator is asking for. | <ul style="list-style-type: none"><li>• Refer to the Recommended Evidence column or the Recommended Evidence document as well as the Documents panel in the lower left side of the online monitoring survey.</li><li>• Consult with Superintendent and/or appropriate district staff.</li><li>• Consult the website for ESF Monitoring at <a href="http://www.nysed.gov/federal-education-covid-response-funding/education-stimulus-fund-monitoring-and-technical-assistance">http://www.nysed.gov/federal-education-covid-response-funding/education-stimulus-fund-monitoring-and-technical-assistance</a> for further information and resources.</li><li>• Reach out to <a href="mailto:CARESAct@nysed.gov">CARESAct@nysed.gov</a> or your Lead Reviewer.</li></ul> |



# Next Steps



The LEA will receive their Review Group assignment on October 11, 2022. This important email will contain important dates and the contact information for the Lead Reviewer.



Review your approved ESF Grants, FS10s, Budget Narratives, and FS-10- As.



# NYSED Support

- TECHNICAL SUPPORT

- Please contact the SEDDAS Help Desk at [SEDDAS@nysed.gov](mailto:SEDDAS@nysed.gov) to resolve any questions related to user accounts, password resets, the SEDDAS application, and

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# ARP HCY I & II

- Will the ARP HCY I & II grants be included in this monitoring process?
  - No – The grants included in this monitoring process are CARES/ESSER I, GEER I, CRRSA/ESSER II, ARP ESSER III, and the ARP ESSER State level Reserves.
  - The ARP HCY I & II process will be separate from this monitoring. We are looking at including these grants in the regular ESSA Consolidated Monitoring Process.

# General Questions

- Does NYSED expect to revise the survey over time, or will every LEA get the same survey?
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# General Questions

- Where can LEAs find the document that includes an explanation of the indicators?
  - The document can be found towards the bottom of the monitoring website under the header “Targeted Monitoring Review” as the second link titled “ESF Targeted Monitoring Indicators and Evidence.”
- If the LEA has already submitted its final expenditure report and received all of the funding, what corrective action could be required?
  - This answer is dependent on the nature of the finding. Depending on what the finding is, NYSED will work with LEAs to find a reasonable resolution that is the least risk. An example of what a resolution could look like would be NYSED having a conversation with the LEA about if they had any allowable expenditures in their general funds that could be allowable under these funding sources and making a note of this.







# Evidence-Based Interventions

- Where can LEAs find definitions of the different evidence tiers?
  - Definitions of the different evidence tiers can be found in the document library of the ARP ESSER State Reserve application.

<http://www.nysed.gov/accountability/evidence-based-interventions>

- If an LEA used one of the evidence-based interventions suggested by the State in the application (high dosage tutoring, summer learning, etc.) what should the response be in the chart under evidence?
  - The monitoring review survey will include a drop-down menu and have evidence tiers 1-4 listed. LEAs could list tier 1 for this example provided. LEAs should note that they only have to upload their evidence base if NYSED requests it.

# Evidence-Based Interventions

- What is required to satisfy the results in the charts for ARP ESSER 3 and ARP ESSER State Level Reserves? Results could be based on the method of evaluation that the LEA stated they were using for the 20% within ARP ESSER 3 or for the ARP ESSER State Level Reserves.
- Could results be trends in student performance? This could include the LEA reporting the results of a summer program to their school board, testing results, attendance results, behavioral results, etc. LEAs could share those types of materials or the results of an internal review if one was conducted.
- Could there be findings related to the tier of evidence that was selected? In the document there is a section to state the tier of evidence LEAs are selecting. NYSED could ask to see evidence base that you used when deciding on these activities or what data the LEA collected that shows the effect this program or activity had or is having on student achievement.

# Non-Public Schools – Equitable Services

- What is required of districts to ensure non-public schools access their funds beyond email reminders?
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# Non-Public Schools – Equitable Services

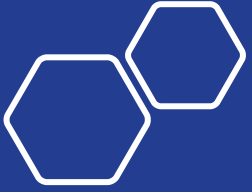
- For non-public schools, if LEAs kept a spreadsheet of the number of times they reached out regarding equitable funds, would it suffice as evidence?
  - Yes. A spreadsheet containing that information would be a great piece of evidence as part of the entire picture. Copies of emails and letters would also be a component to support this evidence.
- What happens if LEAs could not get the participating non-public schools to spend all their money and have attempted to contact them multiple times with no success? Should LEAs submit their final cost reports short of the full amount equal to what the non-public schools didn't spend?
  - The LEA should make good faith efforts to do outreach, including clear deadlines and expectations in writing. At this time, the LEA will submit short of that full amount.

We currently have this question out to USDE and will share if we receive more information before FS-10F's are submitted.



# Amendments and Grants Finance Forms







# Late Liquidation

- Will NYSED apply to USDE for "late liquidation" of CARES funds?

At this time NYSED does not have a late liquidation extension and is hoping to have more information available soon.



Questions?

Thank you for your continued  
collaboration!

